

## Compliance Certificate Registration Form

First Name \_\_\_\_\_

Last Name \_\_\_\_\_

Address \_\_\_\_\_

City/State/Zip \_\_\_\_\_

Home Phone \_\_\_\_\_ Cell Phone \_\_\_\_\_

Email \_\_\_\_\_

Please choose the course(s) you would like to register for:

- Compliance: An Introduction and Overview, Oct 5-6
- Enterprise Risk Management, Oct 19-20
- Import/Export Regulation, Nov 2-3
- Employment and Human Resources, Nov 30-Dec 1
- Compliance: An Introduction and Overview, Jan 18-19
- Information Governance: Privacy and Cyber Security, Feb 1-2
- Compliance in Medical and University Research, Mar 1-2
- Compliance in State and Local Government Procurement, Apr 5-6

Each course costs \$1,000 and must be paid in full in order to secure a seat in the class. Class size is limited to 20 participants and completed registration forms must be sent to:

University of Maine School of Law  
246 Deering Avenue  
Portland, ME 04102

or emailed to: [mainelawcertificate@maine.edu](mailto:mainelawcertificate@maine.edu)

**If paying by credit card, please complete the following fields:**

Name as it appears on the card: \_\_\_\_\_

Billing Address \_\_\_\_\_

City/State/Zip \_\_\_\_\_

Card Type: Visa \_\_\_\_\_ Mastercard: \_\_\_\_\_ American Express: \_\_\_\_\_

Expiration Date: \_\_\_\_\_ CVV Number: \_\_\_\_\_

Card Number: \_\_\_\_\_

**To assist us in our program presentation, please answer the following questions:**

1. Who is your current employer?
2. What industry sector are you currently working in, and how long have you been in that sector?
3. What is your current position, and how long have you been in that position?
4. What is the highest level of education you have received?
5. What are your professional goals?
6. What other professional certificates have you earned, and from where?
7. What do you hope to get out of this program?
8. Are there any specific compliance areas that you would like us to highlight?
9. How many of the program courses do you intend to complete?

10. Which courses do you think you will take if you are pursuing the certificate?

\_\_\_ Compliance: An Introduction and Overview (fall presentation)

\_\_\_ Enterprise Risk Management

\_\_\_ Import/Export Regulation

\_\_\_ Employment and Human Resources

\_\_\_ Information Governance: Privacy and Cyber Security

\_\_\_ Compliance in Medical and University Research

\_\_\_ Compliance in State and Local Government Procurement

11. Is there any other information that you would like us to know?

Thank you!